

Journal of Power Sources 104 (2002) 190-194



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# Further identification to the SEI film on Ag electrode in lithium batteries by surface enhanced Raman scattering (SERS)

Guifeng Li<sup>a,b</sup>, Hong Li<sup>a</sup>, Yujun Mo<sup>b</sup>, Liquan Chen<sup>a</sup>, Xuejie Huang<sup>a,\*</sup>

<sup>a</sup>Institute of Physics, Chinese Academy of Sciences, Beijing 100080, PR China <sup>b</sup>Department of Physics, Henan University, Kaifeng 475001, PR China

Received 11 April 2001; received in revised form 16 July 2001; accepted 21 August 2001

#### **Abstract**

The surface enhanced Raman scattering (SERS) spectrum of the solid electrolyte interphase (SEI) film on the Ag electrode discharged to 0.0 V in lithium battery was measured by normal Raman spectrometer at different excited wavelength. Compared with the Raman spectra of pure LiOH·H<sub>2</sub>O and Li<sub>2</sub>CO<sub>3</sub> reagents, all the SERS bands of the SEI film formed on the surface of the Ag electrode can be assigned to Li<sub>2</sub>CO<sub>3</sub> and LiOH·H<sub>2</sub>O, which are the main stable components of the SEI film in the presence of trace water. LiF may be another possible stable component, however, it cannot be detected due to its inactivity in Raman spectrum. © 2002 Elsevier Science B.V. All rights reserved.

Keywords: Lithium batteries; SERS; The SEI film; Ag electrode

### 1. Introduction

Identification of solid electrolyte interphase (SEI) film formed on the surface of electrodes in lithium ion batteries is essential to understand the relationship between the chemical properties of the SEI film and the electrochemical performance of the electrodes [1]. The SEI film on the surface of anode and cathode has been extensively investigated by FT-IR, HRTEM, SEM, XPS and AFM [2-14]. Recently, we have found that the SEI film formed on the surface of discharged Ag electrode in lithium batteries could be also studied by surface enhanced Raman scattering (SERS) with micro-Raman spectrometer [5,16]. The enhancement is related to the formation of nano-scale Li-Ag alloys. The SERS spectrum is sensitive to the variation of the surface chemical species, surface morphology and discharged state of the Ag electrodes. Up to now, the detection level of SERS technique has come to singlemolecule level [17]. It can also provide orientation information of adsorption molecules on electrode surface [16,18]. On the other hand, it has been known that the surface chemistry of Li-C electrode for lithium batteries is similar to that developed on the noble metal electrode polarized to low potentials in the same electrolyte solution [14]. Therefore, we believe that SERS may be a powerful technique to study interface phenomena in lithium ion batteries, especially for understanding the formation mechanism of the SEI film at an early stage. However, in our recent work, most of the SERS bands of the SEI film have not been identified completely except for the assignment of Li<sub>2</sub>CO<sub>3</sub>.

In this paper, Raman spectra of the Ag electrode discharged to 0.0 V in a lithium battery were recorded by Jobin-Yvon U-1000 Raman spectrometer. The SERS effect of discharged Ag electrode is further confirmed. Compared with the Raman spectra of several referenced compounds, all SERS bands of the Ag electrode are assigned. The formation mechanism of the surface species and resonance Raman effect were further discussed based on these results.

## 2. Experimental

The preparation of the sample is similar to our previous work [15]. In brief, the silver electrode sheet was covered with the SEI film by discharging a Li/Ag battery to 0.0 V at a constant current density of 0.02 mA/cm² and at this state, nano-scale Li–Ag alloy was also formed. The electrolyte is 1 M LiPF<sub>6</sub> dissolved in ethyl carbonate (EC) and diethyl carbonate (DEC) (1:1 v/v) (battery grade, obtained form Beijing Phylion Battery Company).The content of water in this electrolyte was 10 ppm based on the measurement of Karl–Fisher method. The discharged Ag electrode was taken

<sup>\*</sup>Corresponding author. Fax: +86-8264-9050. E-mail address: xjhuang@aphy02.iphy.ac.cn (X. Huang).

out from the lithium battery in an argon-filled M-Braun glove box ( $H_2O < 1$  ppm), washed by anhydrous DEC ( $H_2O < 10$  ppm) and dried in vacuum chamber. Then, it was put into a specially designed Raman cell with a sealed quartz window in order to avoid the interference of air during spectral test.

Lithium hydroxide monohydrate (LiOH· $H_2O$ ) (AR, 96% purity with 2% carbonate salts), lithium carbonate (Li<sub>2</sub>CO<sub>3</sub>) (AR, 99%), lithium fluoride (LiF, AR, >98.5%) are commercial products (Beijing Chemical Reagents Company). Lithium carbonate was heated by vacuum oven before measurement.

Raman spectra were measured by a Jobin-Yvon U-1000 Raman spectrometer at room temperature. The 488.0 and 514.5 nm lines of an Ar<sup>+</sup> laser were used for excitation. The laser power on the samples is about 70 mW.

#### 3. Results and discussion

For comparison, the Raman spectrum of Li<sub>2</sub>CO<sub>3</sub>, LiOH·H<sub>2</sub>O and LiF as reference compounds are measured at room temperature and shown in Figs. 1–3, respectively. The characteristic bands at 96, 157, 193, 711, 748, 1090,

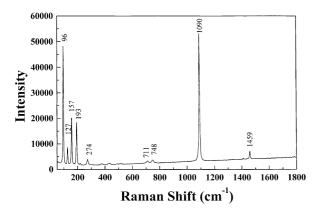


Fig. 1. The Raman spectrum of  $\text{Li}_2\text{CO}_3$  excited by 488 nm  $\text{Ar}^+$  line.

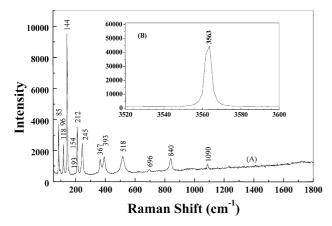


Fig. 2. The Raman spectrum of LiOH·H<sub>2</sub>O excited by 488 nm Ar<sup>+</sup> line.

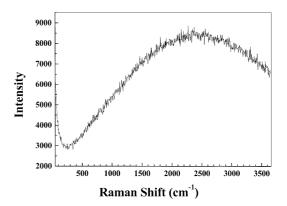


Fig. 3. The Raman spectrum of LiF excited by 488 nm Ar<sup>+</sup> line.

1459 cm<sup>-1</sup> in Fig. 1 are attributed to Li<sub>2</sub>CO<sub>3</sub>, which is similar to the result in reference [19]. Some characteristic bands of Li<sub>2</sub>CO<sub>3</sub> at 96, 193 cm<sup>-1</sup>, especially at 1090 cm<sup>-1</sup> also appear in Raman spectrum of LiOH·H<sub>2</sub>O due to small amount of Li<sub>2</sub>CO<sub>3</sub> in original reagent. The strong peak at 3563 cm<sup>-1</sup> is symmetric OH<sup>-</sup> stretching of LiOH·H<sub>2</sub>O [20]. No characteristic bands can be observed in the Raman spectrum of LiF in Fig. 3 since bulk crystals show no first-order Raman spectrum [21]. However, it is known that surface-assisted multi-order Raman scattering and surface modes from LiF cluster-based nano-film can be observed. The characteristic bands are located mainly at 120, 300, 408, 614 and 966 cm<sup>-1</sup> depending on the cluster size (4–7 nm) [21].

Based on the results of FT-IR, the possible reactions for the SEI formation in 1 M LiPF<sub>6</sub>/EC–DEC (1:1) electrolyte could be summarized as follows:

$$\begin{split} &H_2O + 2e^- + 2Li^+ \rightarrow 2LiOH \downarrow + H_2 \uparrow \qquad [22] \\ &DEC + 2e^- + 2Li^0 \\ &\rightarrow CH_3CH_2CH_2CH_2CH_3 \uparrow + Li_2CO_3 \downarrow \qquad [23] \\ &DEC + e^- + Li^0 \rightarrow CH_3\dot{C}H_2 + CH_3CH_2OCO_2Li \downarrow \qquad [23] \\ &DEC + e^- + Li^0 \rightarrow CH_3CH_2OCO + CH_3CH_2OLi \downarrow \qquad [23] \\ &2EC + 2e^- + 2Li^0 \\ &\rightarrow (CH_2OCO_2Li)_2 \downarrow + CH_2CH_2 \uparrow \qquad [24] \\ &2EC + 2e^- + 2Li^+ \rightarrow LiCH_2CH_2OCO_2Li \downarrow \qquad [14] \\ &ROLi + CO_2 \rightarrow ROCO_2Li \downarrow \qquad [25] \\ &2RCO_3Li + H_2O \rightarrow Li_2CO_3 \downarrow + 2ROH + CO_2 \uparrow \qquad [26] \\ &2CO_2 + 2Li^+ + 2e^- \rightarrow CO \uparrow + Li_2CO_3 \downarrow \qquad [22] \\ &LiPF_6 \Leftrightarrow LiF \downarrow + PF_5; \\ &PF_5 + H_2O \rightarrow 2HF + PF_3O \qquad [2] \\ &PF_3O + Li^+ + e^- \rightarrow LiF \downarrow + Li_xPOF_y \downarrow \qquad [2] \end{split}$$

Therefore, the solvent (EC and DEC) and solute (LiPF<sub>6</sub>) in electrolyte have been reduced during the discharged

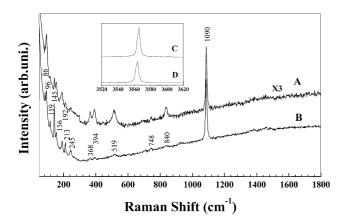


Fig. 4. The SER spectra of SEI film formed on Ag electrode after discharged to 0 V in a Li/Ag cell in which 1 M LiPF<sub>6</sub>, EC–DEC (1:1 in v/ v) was used as electrolyte. (A) The Raman spectrum excited under 514.5 nm Ar $^+$  line. (B) The Raman spectrum excited under 488 nm Ar $^+$  line. (C) The Raman spectrum excited under 514.5 nm Ar $^+$  line at high wavenumber. (D) The Raman spectrum excited under 488 nm Ar $^+$  line at high wavenumber.

process. The reduced species of solvent and solute are the main components of the SEI film. The adsorption of solvent and solute on the electrode surface can be excluded because the characteristic bands of the solvent and solute disappear in the Raman spectrum of the SEI film formed on the electrode surface.

Based on above analysis, for anhydrous electrolyte, the main components of the SEI film are Li<sub>2</sub>CO<sub>3</sub>, ROCO<sub>2</sub>Li, LiF and Li<sub>x</sub>PO<sub>y</sub>. However, it is inevitable since even the purest non-aqueous solutions contain several parts per million of water. Consequently, the stable species in the SEI film are Li<sub>2</sub>CO<sub>3</sub>, LiOH and LiF. LiOH may react with water further to form LiOH·H<sub>2</sub>O.

The SERS spectra of the discharged Ag electrode are shown in Fig. 4. Though the electrode surface became black after lithium ion inserted into silver electrode, the thermal damage for excitation laser beam is little for the SEI film because the Raman spectrum is about constant after measured for seven times. Compared with Figs. 1–3, it can be seen clearly that the main components of the SEI film formed on the discharged Ag electrode in 1 M LiPF<sub>6</sub>/EC-DEC (1:1) electrolyte are LiOH·H<sub>2</sub>O and Li<sub>2</sub>CO<sub>3</sub>. The surface-assisted multi-order Raman scattering modes from LiF cluster-based nano-film are not observed in Fig. 4. It has been known according to XPS data that the SEI film on lithium surface is a mulitilayer structure. LiF is formed at inner part while Li<sub>2</sub>CO<sub>3</sub> and LiOH are existed at outer part [14]. However, the size and distribution of LiF layer or domains in the SEI film are not known till now. Therefore, it can be assumed at least that LiF should not exist in the outer surface since the thickness of the SEI film is usually less than 10 nm [13,14]. But the existence of LiF cannot be excluded.

According to above discussion, the presence of ROCO<sub>2</sub>Li is related to the content of water in the electrolyte. ROCO<sub>2</sub>Li can be detected by FT-IR [13,14]. Since all bands in Fig. 4 can be assigned to LiOH·H<sub>2</sub>O and Li<sub>2</sub>CO<sub>3</sub> as listed in Table 1, it seems that ROCO<sub>2</sub>Li is absent in this case. But, before drawing this conclusion, it should be confirmed whether ROCO<sub>2</sub>Li is SERS active. In our recent paper, the SERS spectrum of discharged Ag electrode in 1 M LiClO<sub>4</sub>, propylene carbonate (PC) and dimethyl carbonate (DMC) (1:1in v/v) electrolyte was also reported [15]. According to current Raman spectra of reference compounds in Figs. 1–3, it is observed that beside the bands related to Li<sub>2</sub>CO<sub>3</sub> and PC, some new bands at 442, 565, 1015, 1156, 1248, 1390, 1519, 2130, 2863 cm<sup>-1</sup> can be seen in the PC-based electrolyte [15]. These bands do not belong to LiOH·H<sub>2</sub>O (see Fig. 1),

Table 1 The assignment of SEI film and comparison to reference compounds<sup>a</sup>

SEI film (this work (488 nm))	LiOH·H <sub>2</sub> O (with Li <sub>2</sub> CO <sub>3</sub> impurity)	Li <sub>2</sub> CO <sub>3</sub> Ref. [20]	Assignment
86	85		ν <sub>4</sub> [27]
96	95	95	Li <sub>2</sub> CO <sub>3</sub> lattice mode
119	118	126	LiO stretching [28]
145	144		v <sub>6</sub> [27]
156	154	156	Li <sub>2</sub> CO <sub>3</sub> lattice mode
192	192	192	Li <sub>2</sub> CO <sub>3</sub> lattice mode
213	212		$A_g$ [29]
245	245		OLiO [29]
368	367		$OH^-$ vibration along x-axis [30]
394	393		OH <sup>-</sup> vibration "average" over all directions [30]
519	518		T'Li <sup>+</sup> vibration [31]
713	696	711	$v_4 (A_g + B_g)$
748		748	$v_4$
840	840		LiO <sub>2</sub> Li stretching [32]
1090	1090	1091	$A_{g}$
		1459	$v_3(A_g + B_g)$
3563	3563		Symmetric O–H stretching [20]

<sup>&</sup>lt;sup>a</sup> T': The translational lattice mode.

LiOH [30], LiCl and the electrolyte components [15]. For PC and DMC system, ROCO<sub>2</sub>Li is also the main component of the SEI film only with the different alkyl groups [14]. As mentioned above, if the electrolyte is anhydrous, ROCO<sub>2</sub>Li will be present while LiOH·H<sub>2</sub>O and LiOH will disappear. Therefore, we can draw conclusions that ROCO<sub>2</sub>Li is SERS active and most of those new bands belong to ROCO<sub>2</sub>Li. In these two cases, the water content in the PC–DMC electrolyte was much lower than it in used EC–DEC electrolyte.

Generally, the identification to LiOH·H<sub>2</sub>O in the FT-IR spectra of the SEI film is according to the appearance of O–H stretching vibration mode near 3650 cm<sup>-1</sup> and Li–O stretch mode around 600–500 cm<sup>-1</sup>. The first band is weak and always masked by O–H stretching mode of water. The later band is broad and interfered with Li–O stretching mode from ROLi, Li<sub>2</sub>O, ROCO<sub>2</sub>Li [26]. So, it is not confirmed by FT-IR to decide whether LiOH or LiOH·H<sub>2</sub>O exists. Obviously, it is more confident to determine it by SERS according to Fig. 4.

Compared to these results with those spectra obtained from FT-IR [2,13,14], it seems that SERS is more sensitive and confirmative to detect the main components of the SEI film, including Li<sub>2</sub>CO<sub>3</sub>, LiOH·H<sub>2</sub>O and ROCO<sub>2</sub>Li, except for LiF. This will be helpful to clarify the formation mechanism of the SEI film. Considering SERS may be used as an in situ technique, it should be a suitable technique for studying the interface phenomena in lithium ion batteries.

On the other hand, the two SERS spectra of the SEI film under the same measured condition except for the different excited wavelength are slightly different in relative intensity. The characteristic bands of the SEI film in Fig. 4(B) are stronger than those in Fig. 4(A) between 50 and 300 cm<sup>-1</sup>. The ratio of signal to noise in Fig. 4(B) is better than that in Fig. 4(A). However, the reverse results occur between 300 and 900 cm<sup>-1</sup>. Because the measured condition in Fig. 4(A) and (B) is completely same, the result indicates that there is different optical absorption behavior of the SEI film under the different excitation wavelength though the other characterization of the SEI film has not been done. Therefore, the results in Fig. 4 should be surface enhanced resonance Raman (SERR) spectrum under 488 and 514.5 nm excitation wavelength because the relative intensity of some SEI bands is distinct under different excitation wavelength. The SERR effect of the SEI film should attribute to the structure of the SEI film. This characteristic of the SEI film contributes to understanding of the SEI structure and interface phenomena.

# 4. Conclusion

It is confirmed by SERS that the main stable species of the SEI film on the surface of discharged Ag electrode in 1 M LiPF<sub>6</sub>/EC-DEC electrolyte are LiOH·H<sub>2</sub>O and Li<sub>2</sub>CO<sub>3</sub>. Besides, it cannot exclude the existence of LiF in the SEI film since it is inactive in Raman spectrum. The appearance

of LiOH·H<sub>2</sub>O is related to the trace water in the electrolyte. When the water content is very low in an electrolyte, such as 1 M LiClO<sub>4</sub>, PC–DMC (1:1), LiOH·H<sub>2</sub>O cannot be detected out and some new characteristic bands at 442, 565, 1015, 1156, 1248, 1390, 1519, 2130, 2863 cm<sup>-1</sup> can be recognized. These bands should be related to ROCO<sub>2</sub>Li. Accordingly, SERS is a suitable technique to detect the chemical species of the SEI film in lithium ion batteries. At the same time, the SEI film on Li–Ag alloy electrode show surface enhanced Raman resonance (SERR) effect.

#### Acknowledgements

This work is supported by the National Natural Science Foundation of China (NSFC contact no. 19774022 and 59972041) and National "863" Key program.

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